



A07-0018
May 3 2002

Application Form

Name of facility*

Name of parent company (if any)

Street address

Street address (continued)

City/State/Zip code

Give us information about your contact person for the National Environmental Performance Track Program.

Name Mr._____

Title _____

Phone _____

Fax _____

E-mail _____

Facility/Company Website _____

* If you are applying for multiple facilities, you must call 1-888-339-PTRK(7875)

Section A

Tell us about your facility.

Why do we need this information?

EPA needs background information on your facility to evaluate your application.

What do you need to do?

- Provide background information on your facility.
- Identify your environmental requirements.

1 What do you do or make at your facility?

2 List the North American Industrial Classification System (NAICS) codes that you use to classify business at your facility.

NAICS

3 Does your company meet the Small Business Administration definition of a small business for your sector?

☐ Yes ☐ No

4 How many employees (full-time equivalents) currently work at your facility? If you checked "Yes" in question 3 and have fewer than 50 employees at your facility, then you are considered a "small facility" by the Performance Track program.

☐ Fewer than 50
☐ 50-99
☐ 100-499
☐ 500-1,000
☐ More than 1,000

5 Complete the Environmental Requirements Checklist on pages 32-38 of the instructions and enclose it with your application.

Section A, continued

- 6 Optional: Is there anything else you would like to tell us about your facility? Do you participate in other voluntary programs at the local, tribal, State, or Federal level?

Section B

Tell us about your EMS.

Why do we need this information?

Facilities need to have an operating Environmental Management System (EMS) that meets certain requirements.

What do you need to do?

- Confirm that your EMS meets the Performance Track requirements.
- Tell us if you have completed a self-assessment or have had a third-party assessment of your EMS.

Read the EMS requirements on page 9-12 of instructions. Tell us if your EMS meets these requirements for:

- | | | | | |
|---|--------------------------------------|-----|--------------------------|----|
| 1 | Environmental policy _____ | Yes | <input type="checkbox"/> | No |
| 2 | Planning _____ | Yes | <input type="checkbox"/> | No |
| 3 | Implementation and operation _____ | Yes | <input type="checkbox"/> | No |
| 4 | Checking and corrective action _____ | Yes | <input type="checkbox"/> | No |
| 5 | Management review _____ | Yes | <input type="checkbox"/> | No |

- | | | | | |
|---|--|-----|--------------------------|----|
| 6 | Have you done a comprehensive review of all activities conducted at your facility that could impact the environment? (i.e., have you done an aspect analysis?) | Yes | <input type="checkbox"/> | No |
|---|--|-----|--------------------------|----|

- | | | | | |
|---|--|-----|--------------------------|----|
| 7 | Have you classified your aspects based on their potential harm to the environment, on community concerns, and/or on other objective factors? (i.e., have you determined your significant aspects?) | Yes | <input type="checkbox"/> | No |
|---|--|-----|--------------------------|----|

- | | |
|---|--|
| 8 | When did you last update your aspect analysis? (mo/yr) _____ |
|---|--|

- | | | | | |
|---|--|-----|--------------------------|----|
| 9 | Have you completed at least one EMS cycle (plan-do-check-act)? | Yes | <input type="checkbox"/> | No |
|---|--|-----|--------------------------|----|

- | | | | | |
|----|--|-----|--------------------------|----|
| 10 | Did this cycle include both an EMS and a compliance audit? | Yes | <input type="checkbox"/> | No |
|----|--|-----|--------------------------|----|

- | | | | | |
|----|--|-----|--------------------------|----|
| 11 | Have you completed an objective self-assessment or third-party assessment of your EMS? | Yes | <input type="checkbox"/> | No |
|----|--|-----|--------------------------|----|

If yes, what method of EMS assessment did you use?

Self-assessment

☐ GEMI

☐ CEMP

☐ Other _____

Third-party assessment

☐ ISO 14001 Certification

☐ Other _____

Section C

Tell us about your past achievements & future commitments.

Why do we need this information?

Facilities need to show that they are committed to improving their environmental performance. This means describing past achievements and making future commitments.

What do you need to do?

Refer to the Environmental Performance Table in the Instructions to answer Parts 1 and 2.

Part 1 You must report past achievements for at least two environmental aspects, and you must choose these aspects from the Environmental Performance Table on pages 29-31 of the Instructions. Please quantify each of your aspects using the units listed for that aspect in the Environmental Performance Table. If you have questions about listing your past achievements under the aspects given, call the PTrack Information Hotline at 1-888-339-PTRK.

Note to small facilities: If you are a small facility, you must report past achievements for only one environmental aspect.

First achievement

1	What aspect have you selected from the Table on page 29-31?		
2	What units are you using to quantify this aspect? (See Table, page 29-31.)		
		PAST	CURRENT
3	List the past annual quantity of the aspect (from two years ago) and the current annual quantity of the aspect (from the most recent year for which you have data).		
4	What are the years for which you are reporting these quantities?		
5	Estimate your past normalizing factor (Page 18 of the Instructions will help you calculate this.)		1.0
6	What is your normalizing factor based on (e.g., production, employment)?		
7	You reported an improvement in the quantity of the aspect in Question 3. How did you achieve this improvement?		

Section C, continued

Second achievement

1	What aspect have you selected from the Table on page 29-31?		
2	What units are you using to quantify this aspect? (See Table, page 29-31.)		
		PAST	CURRENT
3	List the past annual quantity of the aspect (from two years ago) and the current annual quantity of the aspect (from the most recent year for which you have data).		
4	What are the years for which you are reporting these quantities?		
5	Estimate your past normalizing factor. (Page 18 of the Instructions will help you calculate this.)		1.0
6	What is your normalizing factor based on (e.g., production, employment)?		
7	You reported an improvement in the quantity of the aspect in Question 3. How did you achieve this improvement?		

Part 2 You must make future commitments for at least four environmental aspects, and you must choose these aspects from the Environmental Performance Table on pages 29-31 of the Instructions. The aspects you select for your future commitments should be related to the objectives and targets in your EMS. Where possible, they also should be identified as having a significant environmental impact in your EMS. No more than two of your aspects can be from the same environmental category. If you're not sure how your objectives and targets fit into our aspects or whether your aspects are significant, call the PTrack Information Hotline at 1-888-339-PTRK.

Once you have chosen your four environmental aspects, then fill in all the necessary information for these aspects in the tables on pages 7-10 of this form. Please quantify each of your aspects using the units listed for that aspect in the Environmental Performance Table. Each table that you must fill in corresponds to one of the environmental aspects you have chosen.

We will assume that your performance commitments are based on a constant production or employment level. If you would like to base your commitment on changing production or employment, please fill out optional questions 6a and 6b.

Note to small facilities: If you are a small facility, you must report future commitments for only two environmental aspects.

Section C, continued

First commitment

1	What aspect have you selected from the Table on pages 29-31?		
2	What units are you using to quantify this aspect?		
3a	Is this aspect considered significant in your EMS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3b	If no, please explain why you believe this aspect should be included as a performance commitment.		
		CURRENT	FUTURE
4	List the current annual quantity of the aspect and the annual quantity you are committing to achieve by the end of the third year of your participation in PerformanceTrack.		
5	What are the years for which you are reporting these quantities?		2004
6a	(Optional) Estimate your future normalizing factor. (Page 21 of the Instructions will help you calculate this.)	1.0	
6b	(Optional) What is your normalizing factor based on (e.g., production, employment)?		
7	You committed to an improvement in the quantity of this aspect in Question 4. How do you plan to achieve this improvement?		
8a	Are you subject to Federal, State, tribal, or local regulatory requirements for this aspect?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
8b	If yes, please list those requirements, including the quantitative limits and compliance deadlines that apply to you. Explain how your commitment exceeds requirements.		

Section C, continued

Second commitment

1	What aspect have you selected from the Table on pages 29-31?		
2	What units are you using to quantify this aspect?		
3a	Is this aspect considered significant in your EMS?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3b	If no, please explain why you believe this aspect should be included as a performance commitment.		
		CURRENT	FUTURE
4	List the current annual quantity of the aspect and the annual quantity you are committing to achieve by the end of the third year of your participation in PerformanceTrack.		
5	What are the years for which you are reporting these quantities?		2004
6a	(Optional) Estimate your future normalizing factor. (Page 21 of the Instructions will help you calculate this.)	1.0	
6b	(Optional) What is your normalizing factor based on (e.g., production, employment)?		
7	You committed to an improvement in the quantity of this aspect in Question 4. How do you plan to achieve this improvement?		
8a	Are you subject to Federal, State, tribal, or local regulatory requirements for this aspect?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
8b	If yes, please list those requirements, including the quantitative limits and compliance deadlines that apply to you. Explain how your commitment exceeds requirements.		

Section C, continued

Third commitment

1	What aspect have you selected from the Table on pages 29-31?		
2	What units are you using to quantify this aspect?		
3a	Is this aspect considered significant in your EMS?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3b	If no, please explain why you believe this aspect should be included as a performance commitment.		
		CURRENT	FUTURE
4	List the current annual quantity of the aspect and the annual quantity you are committing to achieve by the end of the third year of your participation in Performance Track.		
5	What are the years for which you are reporting these quantities?		2004
6a	(Optional) Estimate your future normalizing factor. (Page 21 of the Instructions will help you calculate this.)	1.0	
6b	(Optional) What is your normalizing factor based on (e.g., production, employment)?		
7	You committed to an improvement in the quantity of this aspect in Question 4. How do you plan to achieve this improvement?	material recycling programs.	
8a	Are you subject to Federal, State, tribal, or local regulatory requirements for this aspect?		
8b	If yes, please list those requirements, including the quantitative limits and compliance deadlines that apply to you. Explain how your commitment exceeds requirements.		

Section C, continued

Fourth commitment

1	What aspect have you selected from the Table on pages 29-31?		
2	What units are you using to quantify this aspect?		
3a	Is this aspect considered significant in your EMS?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3b	If no, please explain why you believe this aspect should be included as a performance commitment.		
		CURRENT	FUTURE
4	List the current annual quantity of the aspect and the annual quantity you are committing to achieve by the end of the third year of your participation in PerformanceTrack.		
5	What are the years for which you are reporting these quantities?		2004
6a	(Optional) Estimate your future normalizing factor. (Page 21 of the Instructions will help you calculate this.)	1.0	
6b	(Optional) What is your normalizing factor based on (e.g., production, employment)?		
7	You committed to an improvement in the quantity of this aspect in Question 4. How do you plan to achieve this improvement?		
8a	Are you subject to Federal, State, tribal, or local regulatory requirements for this aspect?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
8b	If yes, please list those requirements, including the quantitative limits and compliance deadlines that apply to you. Explain how your commitment exceeds requirements.		

Section D

Tell us about your public outreach and reporting.

Why do we need this information?

Facilities need to demonstrate their commitment to public outreach and performance reporting. You should have appropriate mechanisms in place to identify community concerns, to communicate with the public, and to provide information on your environmental performance.

What do you need to do?

- Describe your approach to public outreach.
- List three references who are familiar with your facility.

1 How do you identify and respond to community concerns?

2 How do you inform community members of important matters that affect them?

3 How will you make the Performance Track Annual Performance Report available to the public?

☐ Website www.

☐ Newspaper

☐ Open Houses

☐ Other

While the actual report will be available on
the EPA website, the facility will have a
recognition open house to communicate
commitments as well as location of the
report.

Section D, continued

- 4 Are there any ongoing citizen suits related to environmental issues against your facility?

☐ Yes ☐ No

If yes, describe briefly in the right-hand column.

- 5 List references below.

	Organization	Name	Phone number
Representative of a community/citizen group			
State/tribal/local regulator			
Other community/local reference (e.g., emergency management official or business associate)			

Section E

Application and Participation Statement

On behalf of _____
[my facility],

I certify that

I have read and agree to the terms and conditions for Application and Participation in the National Environmental Performance Track, as specified in the *National Environmental Performance Track Program Guide* and in the *Application Instructions*;

- I have personally examined and am familiar with the information contained in this Application, including the Environmental Requirements Checklist. The information contained in this Application is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete, and I have no reason to believe the facility would not meet all program requirements;
- My facility has an environmental management system (EMS), as defined in the Performance Track EMS requirements, including systems to maintain compliance with all applicable Federal, State, tribal, and local environmental requirements in place at the facility, and the EMS will be maintained for the duration of the facility's participation in the program;
- My facility has conducted an objective assessment of its compliance with all Federal, State, tribal, and local environmental requirements, and the facility has corrected all identified instances of potential or actual noncompliance;
- Based on the foregoing compliance assessment and subsequent corrective actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable Federal, State, tribal, and local environmental requirements.

I agree that EPA's decision whether to accept participants into or remove them from the National Environmental Performance Track is wholly discretionary, and I waive any right that may exist under any law to challenge EPA's acceptance or removal decision.

I am the senior facility manager and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is applying to this program.

Signature/Date _____

Printed Name/Title Mr.

Phone Number/E-mail _____

Facility Name _____

Facility Street Address _____

City/State/Zip Code _____

The National Environmental Performance Track is a U.S. Environmental Protection Agency program. Please direct inquiries to 1-888-339-PTRK (7875) or e-mail ptrack@indecon.com.

To submit your application:

- 1) E-mail the completed application to ptrack@indecon.com,
and
- 2) Fax the completed and signed Section E (**not** the entire application) to
(617) 354-0463.

If you cannot e-mail the application, mail a hard copy of the entire completed application to:

The Performance Track Information Center
c/o Industrial Economics Incorporated
2067 Massachusetts Avenue
Cambridge, MA 02140

Paperwork Reduction Act Notice

The public reporting and recordkeeping burden for this collection of information is estimated to average 40 hours per response. Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

3M Nevada Applicable Environmental Regulations National Environmental Performance Track Application

<i>Applicable Federal Regulations</i>	
Applicable Regulations	Regulation Title - Description (where necessary)
40 CFR 51 and 52	PSD - This facility is located in an attainment area and construction/modification projects may trigger a PSD review.
40 CFR 60	NSPS - This facility is subject to the General Provisions and Subpart RR for Pressure Sensitive Tape and Label Surface Coating Operations.
40 CFR 61	NESHAP - This facility is subject to the General Provisions and Subpart M related to demolition and renovation of asbestos-containing areas.
40 CFR 63	NESHAP - This facility is subject to 40 CFR 63 Subpart N for Hard and Decorative Chromium Electroplating and Chromium Anodizing Tanks.
40 CFR 64	CAM - This facility has control equipment which will become subject to CAM when the Title V permit is modified.
40 CFR 68	RMP - GENERAL DUTY clause only - facility is not required to have a Risk Management Plan.
40 CFR 70	Title V Operating Permit - This facility is in the process of obtaining a Title V Permit.
40 CFR 82.150	Refrigerant Management - This facility is subject to the service, maintenance, repair, and disposal requirements of this rule.
40 CFR 112	SPCC - This facility stores oil on-site above the threshold quantities.
40 CFR 122.26	NPDES Stormwater Discharges - This facility has a stormwater permit.
40 CFR 136	Effluent Guidelines - Establishing Test Procedures for the Analysis of Pollutants - This facility is required to perform water analysis and sampling.
40 CFR 261	Identification and Listing of Hazardous Waste
40 CFR 264/265	Standards for Owners and Operators of TSD Facilities - This facility is subject to Subpart BB and CC related to tank systems, air emission standards for equipment leaks, and air emission standards for tanks and containers.
40 CFR 268	Land Disposal Restrictions
40 CFR 273	Standards for Universal Waste Management
40 CFR 279	Standards for the Management of Used Oil
40 CFR 302	EPCRA 304 - This facility has listed chemicals on-site subject to the reporting obligations for releases of the listed chemicals.
40 CFR 355	EPCRA 302 - This facility stores materials that are listed by the EPA as an extremely hazardous substance (EHS) above the threshold planning quantities.
40 CFR 370	EPCRA 331/312 - This facility has reportable quantities of hazardous substances on-site and files a hazardous chemical inventory report.
40 CFR 372	EPCRA 313 - This facility manufactures, processes, or otherwise uses listed chemicals that require submission of a Form R report.
40 CFR 403	General Pretreatment Effluent Guidelines - This facility discharges process wastewater (other than sanitary) to a POTW.
40 CFR 433	Effluent Guidelines - Metal Finishing Point Source Category

3M Nevada Applicable Environmental Regulations National Environmental Performance Track Application

<i>Applicable State Regulations</i>	
Applicable Regulations	Regulation Title - Description (where necessary)
10 CSR 10-3.060	Maximum Allowable Emissions of Particulate Matter From Fuel Burning Equipment Used for Indirect Heating
10 CSR 10-3.090	Restriction of Emission of Odors
10 CSR 10-6.050	Start-Up, Shutdown, and Malfunction Conditions - This facility is subject to start-up, shutdown, malfunction provision for permitted sources.
10 CSR 10-6.060	Construction Permits Required
10 CSR 10-6.065	Operating Permits - This facility is a Title V Major Source, which requires a Part 70 Permit.
10 CSR 10-6.070	New Source Performance Regulations - This facility is subject to NSPS Subpart RR for Pressure Sensitive Tape and Labels.
10 CSR 10-6.075	Maximum Achievable Control Technology Regulations - This facility is subject to 40 CFR 63.
10 CSR 10-6.080	Emission Standards for Hazardous Air Pollutants - This facility is subject to 40 CFR 61, Subpart M.
10 CSR 10-6.110	Submission of Emission Data, Emission Fees, and Process Information
10 CSR 10-6.130	Controlling Emissions During Episodes of High Air Pollution Potential
10 CSR 10-6.170	Restriction of Particulate Matter to the Ambient Air Beyond the Premises of Origin
10 CSR 10-6.220	Restriction of Emission of Visible Air Contaminants
10 CSR 10-6.260	Restriction of Emission of Sulfur Compounds
10 CSR 10-6.280	Compliance Monitoring Usage
10 CSR 10-6.400	Restriction of Emission of Particulate Matter from Industrial Processes
10 CSR 20-6.010	Construction and Operating Permits - This facility holds a NPDES permit, which requires quarterly sampling and reporting.
10 CSR 20-6.011	Fees - This facility is required to pay a fee for the NPDES permit.
10 CSR 20-6.200	Storm Water Regulations - This facility holds a NPDES permit.
10 CSR 60-11.010	Backflow Prevention

3M Nevada Applicable Environmental Regulations
National Environmental Performance Track Application

<i>Applicable State Regulations Cont...</i>	
Applicable Regulations	Regulation Title - Description (where necessary)
10 CSR 25-4.261	Methods for Identifying Hazardous Waste
10 CSR 25-5.262	Standards Applicable to Generators of Hazardous Waste
10 CSR 25-7.265	Interim Status Standards for Owners and Operators of Hazardous Waste Treatment, Storage, and Disposal Facilities
10 CSR 25-7.268	Land Disposal Restrictions
10 CSR 25-9.020	Hazardous Waste Resource Recovery Processes - This facility has a resource recovery permit for a solvent recovery area.
10 CSR 25-11.279	Recycled Used Oil Management Standards
10 CSR 25-16.273	Standards for Universal Waste Management
10 CSR 80-2.020	Permit Issuance, Construction Permits, Operating Permits, Emergency Permits, and Exemptions - The landfill at this facility is subject to permitting requirements.
10 CSR 8-2.030	Solid Waste Disposal Area Closure and Excavation Procedures - The landfill at this facility is subject to the closure requirements of this part.
10 CSR 80-2.040	Administrative Penalty Assessment - The landfill at this facility is subject to administrative penalties for violations.
10 CSR 80-2.050	Suspension of Permits - The landfill permit at this facility is subject to suspension for any of the listed activities.
10 CSR 80-2.060	Certified Solid Waste Technician - Staff at the facility landfill are required to be certified.
10 CSR 80-2.070	Violation History - This facility is required to submit a violation history disclosure statement.
10 CSR 80-2.080	Tonnage Fees - This facility is required to keep daily solid waste records for payment of tonnage fees.
10 CSR 80-3.010	Sanitary Landfill Design and Operation